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For: **METHOD AND APPARATUS TO
CONTROL LOADED ISOTOPIC FUEL
WITHIN A MATERIAL**

previously "Systems To Control Nuclear Fusion
of Isotopic Fuel Within A Material"

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**METHOD AND APPARATUS TO CONTROL LOADED
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The present invention to control loaded isotopic fuel within a material uses a two-stage method which involves a first stage of electrode loading, and then, a second stage of sudden rapid ("catastrophic") flow of hydrogen within the metal. The apparatus includes a novel cathode, novel anode, and heat pipes, to improve reaction rates. The apparatus includes means to extract products. The apparatus includes intraelectrode barriers to obstruct the movement of the isotopic fuel. The apparatus includes thermal and electrical busses, and enables integration of smaller units into larger assemblies.

The present invention relates to processes and systems involving loading, such as palladium internally filling ["loading"] with deuterons or deuterium (an isotope of hydrogen), but it has relevance as well, to storage devices, to energy systems, and to metallurgy.

By way of background and to place reasonable limits on the size of this disclosure, the following publications are noted:

U.S. PATENT DOCUMENTS

Serial number Filing Date

07/339,976 04/18/1989 Swartz, M.

07/371,937 06/27/1989 Swartz, M.

FOREIGN PATENT DOCUMENTS

OTHER PUBLICATIONS

The present invention relates to electrochemical reactions in or about metals, such as palladium which has been electrochemically loaded with deuterium, but it has relevance as well, to hydrogen storage, fuel cells, nuclear fusion, and other reactions in pressure-loaded metals such as titanium or palladium filled with deuterium, and to the broader field of metallurgy and engineering in or about metals, including Groups IVb, Vb, and some rare earths.

The following journal articles and papers and may be used by way of background material and to supplement this specification:

C. A. HAMPEL, Rare Metals Handbook, Reinhold Publishing Corp, (1954).

M. HANSEN, Constitution of Binary Alloys, McGraw-Hill Book Co., Inc. (1958).

C. J. SMITHELLS, Metals Reference Book, Butterworths Scientific, (1949).

H. H. UHLIG, Corrosion and Corrosion Control, John Wiley & Sons, Inc., (1971).

M. R. SWARTZ, "The Catastrophic Active Medium Theory of Cold Fusion", submitted to Fusion Technology, September 1991 [pub. tk]

Controlled reactions in loaded metals offers the possibility of more efficient and inexpensive energy.

However, there are problems. First, the desired reactions are not well controlled. The proven difficulties of loading, the slow initiation of the desired reactions, and the difficulty in controlling the reactions has limited research and development of this technology.

Second, prior to the desired reactions, the cathodes must be filled with deuterons to concentrations which require significant times of charging.

Third, palladium, the preferred metal of these reactions, is expensive.

Fourth, the rates of the desired reactions are very low in the steady state.

Accordingly, it is a principal object of the present invention to provide a novel method and system to control and enhance desired loading reactions.

The foregoing objects are achieved in a system which includes in combination:

- a novel two-stage loading device, containing in combination:
- a cathode able to be charged from a novel anode with deuterons at a high efficiency,
- a deuteron impermeable barrier to increase the rate of desired reactions,
- a thermal pipe to remove heat,
- a modified solution consisting of a gel containing lithium deuterioxide and palladium deuterioxide,
- a structural barrier to minimize catastrophic loss of said cathode,
- a composite character of said cathode to minimize catastrophic loss of said cathode,
- a structural barrier external to said cathode to minimize catastrophic loss of said cathode, and
- an external casing to provide uniformity and plug-in-ability of said device into,
- a superassembly which allows integration of the smaller energy producing devices.

The invention is hereafter described with reference to the accompanying drawings in which:

FIGURE 1 symbolically shows the compartments used to analyze an electrochemical reactor. The cathode is dissected into four regions, and three compartments within the metal itself. The flow of deuterons is shown by arrows.

FIGURE 2 is a simplified two-dimensional diagram which schematically shows the cathodic compartments used to describe an electrochemical reactor. Although the cathode is divided into four regions, only three are shown in this figure, for simplicity.

FIGURE 3 shows the change in hydrogen binding for palladium, along with Taylor series expansion at two temperatures.

FIGURE 4 shows the output of a simulation of a qualitative model used to test the catastrophic active medium (CAM) theory.

FIGURE 5 shows a typical experimental setup with a cruciform sacrificial cathode of palladium.

FIGURE 6 a simplified two-dimensional diagram which schematically shows the effect of providing intracathodic materials to quench mechanical crack propagation and subsequent breakdown of said cathode.

FIGURE 7 shows a device with axial electrochemical loading of a cylindrical cathode, surrounded by a heat pipe, and a expansion barrier.

Turning now to the figures:

Figure 1 symbolically shows the compartments used to analyze an electrochemical reactor. Figure 1 gives organization to the different parts of a simple reactor referred to in this disclosure. It is not meant to be physically realistic with respect to size. The cathode is dissected into four regions. Three compartments are shown within the metal itself. The flow of deuterons is shown by arrows. The label 1 represents the metallic cathode, usually palladium in the preferred configuration. The labels 2 and 3 represents compartments 2, and 3 respectively, which are discussed in detail below. The label 7 represents the anode which in the preferred embodiment is composed of palladium. The label 6 represents the solution consisting in the preferred embodiment of a gel containing antidesiccant, in combination with LiOD, palladium salts, and heavy water (D_2O). The power supply and control unit consists of a current source and FUSOR reactor control device as described in Swartz (1989), and are not shown in the figure. For simplicity, the electrical connections, heat removing apparatus, and several improvements described in this disclosure are not shown in figure 1.

The application of said power source creates an applied electric field intensity which produces cation flow towards the cathode. There results in the near cathode solution (labeled as 5 in figure 1) a buildup of deuterons, and a low dielectric constant (gas bubble) layer. The bubbles are labeled as number 10 in figure 1. There may be spikes or on the cathode (labeled as 11 in figure 1).

Classically, an electrode in a deuteron solution at equilibrium should measure potentials associated with the Nernst equation. However, during the reaction, the system is not at equilibrium. Thermodynamics assumes equilibrium but tells nothing of the rate. Therefore, a quasi-1-dimensional model can be used to describe the situation external to the cathode. In the absence of solution convection, molecular flux (F) results from both concentration gradients and electrophoretic drift.

$$F(D^+) = - \left[B \bullet \frac{d[D^+]}{dz} \right] - \left[\mu \bullet [D^+] \bullet \vec{\nabla} \Phi \right]$$

Coupled equations thus determine the distribution of deuteron species in the bulk solution. K_f is the bulk rate of the desired reactions. K_c is the rate at which deuterons physically enter the palladium cathode. B is the diffusivity.

$$\begin{aligned} \frac{d[D^+]}{dt} = & -K_f + \left[B \bullet \frac{d^2[D^+]}{dz^2} \right] + \left[\mu \bullet [D^+] \bullet \frac{d^2[\Phi]}{dz^2} \right] \\ & + \left[\mu \bullet \frac{d[\Phi]}{dz} \bullet \frac{d[D^+]}{dz} \right] - K_c \end{aligned}$$

For simplicity, a number of approximations are made, such as no free charge density. In addition, deuteron penetration occurs at the cathode, and is electron limited, at an efficiency of E_c , so that the following steady state expression for the initial coefficient of the final spatial distribution of deuterons is:

$$D^+(z) = \frac{\left(\frac{I \bullet E_c}{A \bullet F} \right) \bullet \sqrt{\frac{K_f}{B}}}{\cosh \left(\sqrt{\frac{K_f}{B}} \bullet L \right) - 1}$$

Figure 2 a simplified two-dimensional diagram which schematically shows the cathodic compartments used to describe a CAM electrochemical reactor. The cathode is dissected into several regions. The label 1 again represents the crystalline metal cathode. In figure 2, the label 2 points out the entrapped volumes within compartment 1 (label 1). Three of the compartments are open to the ambient and two are labelled by number 3; they represent compartment 3. Most current theories involve the crystalline metal (label 1).

One problem with tunneling in the crystalline metal is that the internuclear separation is even larger (0.3 Angstroms) than in an isolated D_2 molecule. Although this may be offset by theoretical screening by localized 4d electrons, the tunneling probability remains vanishingly small until internuclear distances of less than 0.7 Angstroms occur.

Therefore, to explain the invoked tunneling, current theories suggest either screening effects of electrons, high effective mass electrons, decreased effective deuteron mass, deuteron energy fluctuations, or coherent screening. The rest of theories use the periodic lattice to surmount the obstacle. Other models involve shrunk atoms either by a theory or by the proven interaction of heavy negative particle with the crystalline metal lattice containing deuterons. The muon capture theory begins with heavy muon capture by a deuteron leading to a greatly contracted “electronic” shell around the deuterium nucleus by a factor of 200.

The catastrophic active medium (CAM) theory differs from the other theories in that the desired reactions are hypothesized to not occur within the metal bulk, but at certain large vacancies and defects by the sudden fractional desaturation of deuterons. The CAM Model approximates the palladium (or titanium) used by three compartments. Figure 2 schematically shows a piece of such highly loaded metal. The bulk metal crystalline lattice comprising the cathode is compartment 1. Large defects consisting of enclosed spaces -not open to the ambient- compromise compartment 2. With subsequent crack, fissure, or other dislocation compartment 3 is formed. The model for the cathode consists of three compartments (figure 1). One cathodic compartment consists of the crystalline (e.g.. beta phase) palladium into which deuterons can diffuse and remain in well-defined shallow energy traps. The second and third compartments of the cathode consist of the defects, grain boundary dislocations, and larger defects merging into bubbles and fissures. It is the multiple compartments of the cathode which makes the CAM theory unique. The desired reactions are postulated to occur outside of the crystalline palladium lattice (compartment 1).

It is the movement of deuterons to compartment 2 which begins the process at that location. Catastrophic deuteron flux, coupled with an exothermic deuteron desaturation of the active medium, drives the fusion reactions.

This occurs until, by a second catastrophic process, the fusion-defect-site is no longer confined. The final reactions in the CAM theory ends with the opening up of the defect or fissure through a large crack (compartment 3). By this theory the fissures are the result of the catastrophic desaturation of the active medium that was previously fully deuterated (e.g. in the preferred embodiment palladium or titanium).

The CAM theory may offer explanations for the tremendous “difficulties” observed by many experimenters attempting to repeat experiments, for the bursts of excess energy seen, for the very tardive appearances of both the excess energy and those bursts. The CAM theory begins at the cathode, labeled 1, by taking into account the special character of palladium and titanium with respect to deuterons and hydrogen.

In most metals (e.g. aluminum, cobalt, copper, iron, nickel, platinum, silver, and tin) the deuterium solubility is described by the experimental relation [where K is the Sievert constant, and p is the partial pressure of deuterium gas ($S = K * \sqrt{p}$)]. However, all such metals have low solubility, and only dilute solutions are stable (about one deuterons per 10,000 or more metal atoms). Furthermore, in such metals deuteron solubility is endothermic. Therefore, the solubility for these metals increases with temperature.

In contrast within palladium and titanium (and other Group IVb and Vb metals and some rare earths such as cerium, lanthanum, niobium, tantalum, thorium, vanadium, zirconium) much different behavior occurs. First, much more concentrated solutions can exist. For these metals the greater deuteron concentrations mean that they act like emphores ('vases', similar to the biomaterial myoglobin). Furthermore, the deuteron binding in these metals is exothermic. This indicates that the deuterons reside in shallow energy traps located within and throughout the lattice. Most importantly for the CAM theory, the deuteron binding capacities decrease with temperature for these metals.

The deuteron-laden metal lattices change significantly with increasing deuteron loading. An extensive literature, involving solubility isotherms and x-ray results demonstrates two solid solutions of protons in palladium. During the time of deuteron loading there is progressive increase in the volume of the cathode. A 5000 atmosphere internal pressure is consistent with the 4% plastic deformation following deuterium loading.

Classically, the atomic ratio of deuterons to palladium is used to describe the quantity of deuterons in metal.

$$(Pd D_x), \text{ where } x = \frac{[numberD]}{[numberPd]}$$

This is broken up in the CAM model. The total deuteron content in a given volume of palladium cathode is modeled as the sum of the deuterons physically located in compartments 2 and 3 (and in any amorphous palladium hereinafter ignored for simplification) and those deuterons which are entrapped within the palladium lattice (compartment 1). The quantity of the former amount is modeled as the product of the Henry gas solubility coefficient and the deuterium partial pressure $[P_{D_2}]$.

The quantity of the latter depends both upon the amount of deuteron binding material present [e.g. palladium in its beta phase], the number of intralattice sites available for the deuterons (n) and the affinity of the palladium lattice for those deuterons. The affinity is thus modeled as a fractional saturation (y_D).

$$P_{D_2} = [\alpha \bullet (P_{D_2}) \bullet f] + [(1 - f) \bullet y_D \bullet \hat{n}]$$

f is the fractional amount of defect sites (e.g. compartments 2 and 3) in the beta-phase palladium. For a solid metal cathode, the quantity of dissolved deuterons in compartments 2 and 3 (first term above) is small compared to that bound to palladium because most of the metal resides in a crystalline lattice. Thus, f is therefore close to zero.

This relation can be examined in the limit of f approaching zero by l'Hospital's Rule. That analysis indicates that the deuteron pressure is related to the quantity of bound deuterons as:

$$\lim_{f \rightarrow 0} [D_{2(f \rightarrow 0)}] = \frac{\hat{n} \bullet y_D}{\alpha}$$

This indicates that CAM model in that limit is consistent with well-known solubility laws of deuterons in most materials.

In the CAM model there is assumed to be rapid mass transfer from compartment 1 to 2 as the catastrophic desaturation occurs. This is a reasonable assumption because of the deuterium diffusivity in palladium increases with temperature. It is that increase in diffusivity which enables palladium foils to remove hydrogen and deuterium from other gases at elevated temperature. The CAM hypothesis was tested in a computer simulation wherein the desired reactions were hypothesized to occur only in the metal-surrounded free gas compartment located physically within the cathode (figure 1). The fractional saturation was approximated by expressions for both pressure and temperature qualitatively similar to those reported.

$$y_D = 1 - \exp \left[\frac{-P_{D_2}}{c_1 \cdot T} \right]$$

The last qualitative assumption used was that the temperature would increase very slightly with the desired events, secondary to markedly increased pressures for deuterium pressures greater than 50 atmospheres.

The qualitative model used to test the catastrophic active medium (CAM) theory did show that in the model the metal was capable of exothermic catastrophic fractional desaturation. Figure 6 shows the results of that simulation. The same phenomenon occurred for several different such qualitative formulations. The three curves are A) the normalized deuterium pressure (in atmospheres), B) the normalized system temperature, C) the fractional saturation (y_D) of the active medium (palladium).

deuterons or until, by a second catastrophic process, the fusion-defect-site is no longer confined. At that point, catastrophic exposure of compartment 2 to the ambient occurs creating compartment 3. The intracathodic compartment 3 of the CAM theory is known from endstage deuteron (or hydrogen) embrittlement. This compartment usually declares itself when the dissolved deuterons, after entering a metal through a corrosion reaction or by cathodic polarization, explode into the ambient as the metal fissures or otherwise irrefutably changes shape. The fugacities involved are enormous ranging from 5000 up to an estimated 10^7 atmospheres for hydrogenated palladium.

One special compartment 2 should be noted. Because the solubility of deuterium in water is relatively low, the electrolyte solution itself acts as a barrier to create another type of compartment 2 which is more compliant because of the water (hydrogen bonds vs. covalent bonds of the palladium). The space charge formation, the low dielectric layers (gases) in front of the cathode, any spikes on the cathode, work together at this compartment 2 to create very large electric field intensities. Further ionization reactions contribute to activate the reaction.

Figure 7 is an isometric drawing of a CAM electrochemical device, and shows the direction of the electric field. Figure 7 shows a see-through view over the cathodic volume. This cutaway exposes the four concentric components of the device at that location. In this simplified CAM device, surrounding the cathode, in coaxial fashion, are a deuteron diffusion barrier (labeled 50) and an expansion barrier (labeled 40). These barriers are discussed in detail below. In this particular device, the cathode is axially-fed the deuterons. For simplicity, the power supply, control apparatus (including phonon drive, magnetic fields, thermal capture system and electrical connections) are not shown in figure 7. The electric field points from anode (labeled as 7) to the cathode (labeled as 1). In the device shown in figure 3, label 20 represents the structural casing wall which makes this CAM device "dry". The enclosed solution, in the preferred embodiment actually consisting of a heavy water-LiOD-gel, and is labeled number 6. The device is shaped like a fuse and can be easily placed into, or removed from, an assembly and system used to both power the reaction and extract the excess heat. For simplicity, the power source, connections, and thermal extraction system are not shown.

Figure 8 shows a vertical cross-sectional slice of a CAM device, having a external structural casing support system, a centrally placed axially-filled cathode, a coaxial deuteron-barrier and coaxial expansion-barrier. The structural support system (labeled 20) encloses an axially-filled cathode for loading reactions consisting of a coaxial deuteron-barrier and coaxial expansion-barrier. The expansion barrier (labeled 40) surrounds the cathode and prevents expansion. Between the two is a deuteron impermeable barrier (labeled 50) which prevents outward diffusion of deuterons when the cathode is catastrophically desaturated of its deuterons. The barrier prevents loss of deuterons to the expansion barrier, and acts as a

circumferential locus of fusion. The cathode is labeled as 1. In this CAM device, the cathode is charged in a direction perpendicular to the drawing (e.g. similar to figure 7). For simplicity, the power supply, FUSOR (TM) control apparatus including phonon drive, magnetic fields, thermal capture system and electrical connections are not shown in figure 8.

Figure 9 is a cluster of seven CAM devices held together by an external structural casing support system, and an intercluster thermomechanical material. A high thermally conductive epoxy would be the preferred embodiment. This would enable facile, relatively inexpensive, support and thermal coupling for the system.

The cathodes are fashioned as cylindrical palladium surrounded by a deuteron impermeable (or relatively impermeable) barrier (e.g. tungsten or gold). The result would be that after a slow charge with deuterons, the catastrophic desaturation yields a rapid symmetric flux through the walls of the cathode cylinders - directly into the impermeable wall thereby increasing fusion. For simplicity, the power supply, FUSOR control apparatus including phonon drive, magnetic fields, thermal capture system and electrical connections are not shown in figure 9.

Figure 10 shows a vertical cross-sectional slice of a CAM device, with a central axially-filled cathode, two coaxial deuteron-barriers and an inner thermal pipe. This device is surrounded by a structural support system labeled 20. The axially-filled cathode (labeled 1) is constructed within and around novel devices. Coaxial with the cathode are two sites consisting of double coaxial deuteron-barriers and an inner thermal pipe.

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electrolyte solution or gel is labeled as 6. The connections for the first electric field are labeled as 81 and 82. The connections for the second electric field are labeled as 85 and 86. The mechanical casing is labeled 20. The deuteron impermeable barrier is comb-shaped in this preferred configuration, and is labeled 55 in figure 13. The cathode in this preferred configuration is divided into parallel slabs. Between these slabs alternate deuteron-impermeable barriers. Application of the second electric field causes the deuterons already loaded in the cathode to redistribute, but the deuteron-impermeable barrier(s) act to enhance the desired reactions.

The 4-terminal CAM device shown in figure 13 does not show, for simplicity, the thermal transfer equipment or these parts needed for superassembly as described above.

Turning to figure 14 which shows three lamellar CAM reactors. Each device is equipped with orthogonal applied electric fields. The second applied electric field intensity is delivered after full charging.

Each reactor is labeled as 90 in figure 14, but similar to what is shown in figure 13. These devices each contain a cathodes (labeled 1), intradevice gel containing lithium and palladium deuterioxide (labeled 6), and anode (labeled 7). These CAM devices are inserted, similar to a fuse onto a holding board (not shown), held in place by clips (labeled 102). The three CAM device are shown connected to a microprocessor control system (labeled 110). Said apparatus has an electrical bus to connect the anodes (labeled 105) which are connected to the anodic connectors (labeled 82). Said apparatus has an electrical bus to connect the cathodes (labeled 106 and 107) which are connected to the cathodic connectors (not labeled in the figure). The cathodic system buses (106 and 107) are electrically shorted together during the deuterium charging.

Turning to figure 16, shown are three pressure-activated CAM reactors. Each reactor (un-labelled) is similar to that shown in figure 13. These devices each contain a cathode (labeled 1), heat pipes (labeled 70), expansion barrier (40), deuteron diffusion barrier (labeled 50), external casing (20), and thermomechanical connector (labeled 130) for assembling the heat pipes (70) to the external thermal bus (140). The entire apparatus has a heat dissipative system which is not shown. Said apparatus has an electrical bus to connect the anodes (not shown), and an electrical bus to connect the cathodes (not shown). The CAM devices are inserted, similar to a fuse onto a holding board (150, 151 above and below the assembly), held in place by clips (not shown for simplicity). External structures labeled 110 and 120 are near-circumferential in location to the casing (20), and are used to squeeze the CAM reactor.

The resultant pressure causes catastrophic desaturation. The purpose of the receptor apparatus is to integrate the three (or more) CAM units, and to couple said devices to the control system. As before, damage or rundown of one CAM unit allows easy replacement by a functioning one.

Figure 17 is another configuration of device which can be easily integrated into power and heat systems. It consists of a reactor as described above (cf. figure 13) which is arranged as a thick film device, located in a transistor-like header. The header (labeled as 200) is perforated by six (6) holes (not labeled) to accommodate insulators (labeled 210), through which six leads enter said header. The leads consist of electric leads (182, 185, 181, and 186), and two thermal connections (labeled 170 in figure 17). The electrical leads are connected to the thick film device. The anode (labeled 7) is connected to the anodic terminal (82) to which is bonded the "anodic" lead (labeled 182).

The cathode (unlabelled but located adjacent to its cathodic terminal labeled 81) is connected via the cathodic terminal (81) to the “cathodic” lead (181). The electrolyte is an electrolyte gel as discussed above (labeled 6). After loading the leads 185 and 186 are activated to drive the deuterons into the obstructing barriers (which alternate with the cathode). Thermal leads 170 are, for simplicity, not shown connected to the thermal bus which extracts the heat from the reactor.

Figure 18 shows a CAM reactor with a modification to extract an isotopic nuclear fusion product (e.g. tritium) from said reactor rather than heat. The device shown in the figure has an axially loaded cathode (labeled 1). The anode is labeled 7, and the solution 6. The structural casing is labeled 20. The cathode and anode have electrical connections labeled 81, and 82, respectively.

The electrical connections to the FUSOR power supply are labeled 181 and 182. An expansion barrier (40) is shown.

An inhomogenous magnetic field intensity is applied by coil labeled 300 to one portion of the cathode (1). Said magnetic field is driven by the power supply (labeled 301) in the figure. The spatially inhomogenous magnetic field could also be created by a superconductor.

The cathode is loaded by the electrochemical drive system. The differential magnetic susceptibility between isotopic fuel and the nuclear fusion product is used to magnetically pump the product to and through the barrier labeled 350. At that location there is a buildup of the isotope with the larger magnetic susceptibility due to said differential magnetic susceptibility. The magnetic force resulting from the applied magnetic field is the derivative of the magnetic

